

Docket No.
EN920020004US1

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

INITIALIZING, MAINTAINING, UPDATING AND RECOVERING SECURE OPERATION WITHIN AN INTEGRATED SYSTEM EMPLOYING A DATA ACCESS CONTROL FUNCTION

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Christopher A. Hughes, Esq., Reg. No. 26,914

John E. Hoel, Esq., Reg. No. 26,279

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John R. Pivnichny, Ph.D., Reg. No. 43,001

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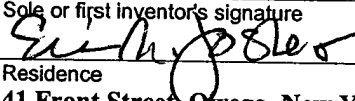
Jeff Rothenberg, Esq., Reg. No. 26,429


Kevin P. Radigan, Esq., Reg. No. 31,789

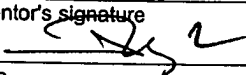
Blanche E. Schiller, Esq., Reg. No. 35,670

Send Correspondence to: **Kevin P. Radigan, Esq.**
Heslin Rothenberg Farley & Mesiti P.C.
5 Columbia Circle
Albany, New York 12203

Direct Telephone Calls to: *(name and telephone number)*
Kevin P. Radigan, Esq. - (518) 452-5600

Full name of sole or first inventor Eric M. Foster	
Sole or first inventor's signature 	Date 4.17.2002
Residence 41 Front Street, Owego, New York 13827	
Citizenship United States of America	
Post Office Address 41 Front Street, Owego, New York 13827	

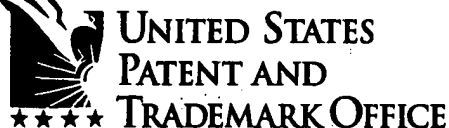
Full name of second inventor, if any William E. Hall	
Second inventor's signature 	Date April 2, 2002
Residence 8 White Oak Drive, Clinton, Connecticut 06413	
Citizenship United States of America	
Post Office Address 8 White Oak Drive, Clinton, Connecticut 06413	

Full name of third inventor, if any Marcel C. Rosu
Third inventor's signature  Date April 2, 2002
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Citizenship United States of America ROMANIA MCR
Post Office Address Building 1, Apartment #8, Briarcliff Drive South, Ossining, New York 10562

Full name of fourth inventor, if any
Fourth inventor's signature Date
Residence
Citizenship
Post Office Address

Full name of fifth inventor, if any
Fifth inventor's signature Date
Residence
Citizenship
Post Office Address

Full name of sixth inventor, if any
Sixth inventor's signature Date
Residence
Citizenship
Post Office Address



JULY 02, 2002

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ALBANY, NY 12203

Commissioner for Trademarks
Arlington, VA 22202-3513
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/18/2002

REEL/FRAME: 012845/0704
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FOSTER, ERIC M.

DOC DATE: 04/17/2002

ASSIGNOR:

HALL, WILLIAM E.

DOC DATE: 04/02/2002

ASSIGNOR:

ROSU, MARCEL-CATALIN

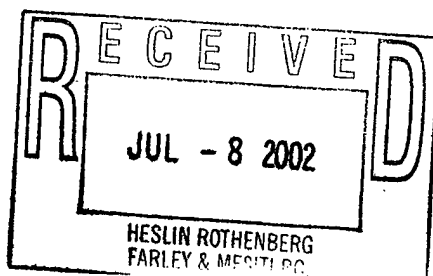
DOC DATE: 04/02/2002

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
NEW ORCHARD ROAD
ARMONK, NEW YORK 10504

SERIAL NUMBER: 10125803
PATENT NUMBER:

FILING DATE: 04/18/2002
ISSUE DATE:



012845/0704 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

05-05 2002

4/18/02

cket No.: EN920020004US1

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03



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FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

TS ONLY

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eric M. Foster
William E. Hall
Marcel-Catalin Rosu

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 04/17/02, 04/02/02

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10125803

If this document is being filed together with a new application, the execution date of the application is: 4/17/02, 4/02/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin P. Radigan, Esq.

Internal Address: Heslin Rothenberg Farley & Mesiti P.C.

Street Address: 5 Columbia Circle

05/02/2002 TDIAZ1 00000093 090457 10125803

01 FC:581 40.00 CH

City: Albany State: NY ZIP: 12203

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0457 (IBM)

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William H. Steinberg, Esq. - 28,540

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

A S S I G N M E N T

Whereas, we

- (1) Eric M. Foster of Owego
County of Tioga State of New York
- (2) William E. Hall of Clinton
County of Middlesex State of Connecticut
- and
- (3) Marcel-Catalin Rosu of Ossining
County of Westchester State of New York

have invented certain improvements in

**INITIALIZING, MAINTAINING, UPDATING AND RECOVERING SECURE OPERATION WITHIN AN
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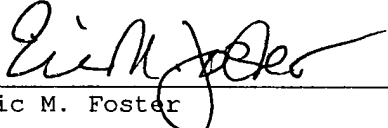
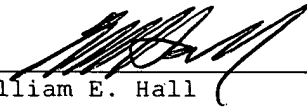
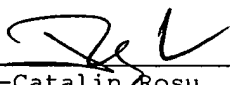
and executed a United States Patent Application therefor on

- (1) 4.17.2002, (2) April 2, 2002, and (3) April 2, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

- (1) at ENDICOTT, NY
on 4.17.2002 
Eric M. Foster
- (2) at Hawthorne, NY
on April 2, 2002 
William E. Hall
- (3) at HAWTHORNE, NY
on April 2, 2002 
Marcel-Catalin Rosu